

PATENT

Attorney Docket No.: 16869S-040900US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Takenori Hirose et al.

Application No.: 10/054,274

Filed: January 18, 2002

For: **Method and Apparatus for Managing Surface  
Image of Thin Film Device, and Method and Apparatus  
for Manufacturing Thin Film Device Using the Same**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Examiner: Chong R. Kim

Art Unit: 2623

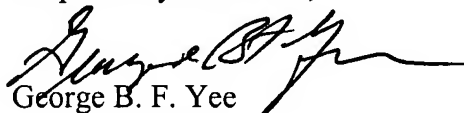
REQUEST FOR CORRECTED FILING  
RECEIPT

Sir:

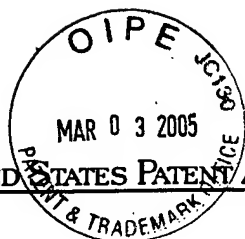
Attached is a copy of the Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

The Filing Date shown on the Filing Receipt is incorrect. The correct Filing Date is January 18, 2002 (see Exhibit A - return receipt postcard). Please correct the Filing Date to reflect the correct date of January 18, 2002.

Respectfully submitted,

  
George B. F. Yee  
Reg. No. 37,478

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (650) 326-2400  
Fax: (650) 326-2422  
GBFY:cm  
60431678 v1



168695040900US  
 UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/054,274	01/22/2002 01/18/02	2621	908	16869S- 040900US	18	20	5

CONFIRMATION NO. 6332

20350  
 TOWNSEND AND TOWNSEND AND CREW, LLP  
 TWO EMBARCADERO CENTER  
 EIGHTH FLOOR  
 SAN FRANCISCO, CA 94111-3834

**FILING RECEIPT**



\*OC000000007487640\*

Date Mailed: 02/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Takenori Hirose, Machida, JAPAN;  
 Mineo Nomoto, Yokohama, JAPAN;

**Assignment For Published Patent Application**

Hitachi, Ltd, Tokyo, JAPAN;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

JAPAN 2001-292011 09/25/2001

If Required, Foreign Filing License Granted 02/15/2002

Projected Publication Date: 03/27/2003

Non-Publication Request: No

Early Publication Request: No

**Title**

Method and apparatus for managing surface image of thin film device, and method and apparatus

for manufacturing thin film device using the same

**Preliminary Class**

382

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PATENT APPLICATION  
FILING ACKNOWLEDGMENT**

PA 3196237 v1

Mailing Date:	January 18, 2002	Express Mail No.	EL695654497US
File No.:	16869S-040900	Attorney:	RCC:mks
Inventor(s):	Takenori Hirose, <i>et al.</i>		
Title:	Method and Apparatus for Managing Surface Image of Thin Film Device, and...		

Combined Declaration/

Power of Attorney (signed)	1	Declaration ( )
Assignment of Patent Application	1	Power of Attorney
Recordation Form Cover Sheet	2	Verified Statement

No. pages of specification, including claims & Abstract	29	ADS
No. sheets of drawings	18 frml	Information Disclosure Statement
Utility Transmittal	1	PTO/SB/08A + 4 refs.
Fee Transmittal	2	Certified copy of JP appln.

Please stamp the date of receipt of the enclosed documents and return this card to addressee.

JC978 U.S. PTO  
 10/054274  
 01/18/02